

## EXTREME POINTS IN A CLASS OF POLYNOMIALS HAVING UNIVALENT SEQUENTIAL LIMITS

BY

T. J. SUFFRIDGE<sup>(1)</sup>

**Abstract.** This paper concerns a class  $\mathcal{P}_n$  (defined below) of polynomials of degree less than or equal to  $n$  having the properties: each polynomial which is univalent in the unit disk and of degree  $n$  or less is in  $\mathcal{P}_n$  and if  $\{P_{n_k}\}_{k=1}^\infty$  is a sequence of polynomials such that  $P_{n_k} \in \mathcal{P}_{n_k}$  and  $\lim_{k \rightarrow \infty} P_{n_k} = f$  (uniformly on compact subsets of the unit disk) then  $f$  is univalent. The approach is to study the extreme points in  $\mathcal{P}_n$  ( $P \in \mathcal{P}_n$  is extreme if  $P$  is not a proper convex combination of two distinct elements of  $\mathcal{P}_n$ ). Theorem 3 shows that if  $P \in \mathcal{P}_n$  is extreme then  $((n+1)/n)P(z) - (1/n)zP'(z)$  is univalent and Theorem 6 gives a geometric condition on the image of the boundary of the disk under this mapping in order that  $P$  be extreme. Theorem 10 states that the collection of polynomials univalent in the unit disk and having the property  $P(z) = z + a_2z^2 + \cdots + a_nz^n$ ,  $a_n = 1/n$ , are dense in the class  $\mathcal{S}$  of normalized univalent functions. These polynomials have the very striking geometric property that the tangent line to the curve  $P(e^{i\theta})$ ,  $0 \leq \theta \leq 2\pi$ , turns at a constant rate (between cusps) as  $\theta$  varies.

For  $n \geq 1$ , let  $\mathcal{P}_n$  be the collection of polynomials of degree less than or equal to  $n$  of the form  $P(z) = z + a_2z^2 + \cdots + a_nz^n$  such that the equations

$$(1) \quad \frac{\Delta_k P(z)}{z} = \frac{P(ze^{ik\pi/(n+1)}) - P(ze^{-ik\pi/(n+1)})}{z(e^{ik\pi/(n+1)} - e^{-ik\pi/(n+1)})}$$

$$= 1 + \sum_{j=2}^n a_j \frac{\sin kj\pi/(n+1)}{\sin k\pi/(n+1)} z^{j-1} = 0, \quad k = 1, 2, \dots, n,$$

have no roots in  $|z| < 1$ . Since  $P$  is univalent in  $|z| < 1$  if and only if for  $0 < \theta < \pi/2$  the equation

$$O = 1 + \sum_{j=2}^n a_j \frac{\sin j\theta}{\sin \theta} z^{j-1}$$

has no roots in  $|z| < 1$ , [3],  $\mathcal{P}_n$  contains the collection  $U_n$  of all univalent polynomials of degree  $n$  or less which are appropriately normalized.

We say that  $P \in \mathcal{P}_n$  is an extreme point of  $\mathcal{P}_n$  if there do not exist  $P_1$  and  $P_2$  in  $\mathcal{P}_n$ ,  $P_1 \neq P_2$ , such that  $P = tP_1 + (1-t)P_2$  where  $0 < t < 1$ . We will show below that  $\bigcup_{n=1}^\infty \mathcal{P}_n$  is a normal family and it is then easy to see that, for each  $n$ ,  $\mathcal{P}_n$  is a compact

---

Presented to the Society, January 22, 1971; received by the editors October 2, 1970 and, in revised form, March 1, 1971.

*AMS 1969 subject classifications.* Primary 3010, 3042, 3043.

*Key words and phrases.* Extreme point, convex hull, univalent polynomial.

<sup>(1)</sup> This work was supported by the National Science Foundation under grant number GP 19533.

Copyright © 1972, American Mathematical Society

subset of a locally convex linear topological space. As in [2], the Kreĭn-Milman theorem [4] then applies and  $\mathcal{P}_n$  is contained in the closure of the convex hull of its extreme points. Further, any continuous linear functional on  $\mathcal{P}_n$  assumes its maximum real part and maximum modulus on the set of extreme points.

It is clear that  $P \in \mathcal{P}_n$  is an extreme point if and only if for each real  $\alpha$ ,  $e^{-i\alpha}P(ze^{i\alpha})$  is extreme. Hence in attempting to characterize the extreme points of  $\mathcal{P}_n$  we may assume  $P(z) = z + a_2z^2 + \cdots + a_nz^n$  where  $a_n \geq 0$ .

**THEOREM 1.** *If  $P(z) = z + \sum_{j=2}^n a_jz^j$  is an extreme point of  $\mathcal{P}_n$  such that  $a_n \geq 0$  then  $a_{j+1} = \bar{a}_{n-j}$ ,  $0 \leq j \leq n-1$ .*

**Proof.** Note that

$$\begin{aligned}\sin k(j+1)\pi/(n+1) &= (-1)^{k-1} \sin [k\pi - k(j+1)\pi/(n+1)] \\ &= (-1)^{k-1} \sin k(n-j)\pi/(n+1)\end{aligned}$$

so that  $a_n \leq 1$  with equality only if all the roots of the equations  $\Delta_k P(z)/z = 0$  lie on  $|z| = 1$  and in this case  $a_{j+1} = \bar{a}_{n-j}$  [1]. Thus we need only show  $a_n = 1$ .

Let

$$\hat{P}(z) = z^{n+1} \overline{P(1/\bar{z})} = \sum_{j=1}^n \bar{a}_{n-j+1} z^j$$

and observe that  $\Delta_k(\hat{P}) = (-1)^{k-1}(\Delta_k P)^\wedge$ . Assume  $1 > a_n$  and define  $Q(z) = (1+a_n)^{-1}[P(z) + \hat{P}(z)]$ ,  $R(z) = (1-a_n)^{-1}[P(z) - \hat{P}(z)]$ . We now show  $Q, R \in \mathcal{P}_n$ . For any polynomial  $S$  of degree less than or equal to  $n$ ,

$$\hat{S}(e^{i\theta}) = e^{i(n+1)\theta} \overline{S(e^{i\theta})}$$

so  $\Delta_k \hat{P} / \Delta_k P = (-1)^{k-1}(\Delta_k P)^\wedge / \Delta_k P$  is analytic in a neighborhood of the closed disk. But

$$\begin{aligned}|\Delta_k \hat{P}(z) / \Delta_k P(z)| &= 1 \quad \text{on } |z| = 1, \\ &= a_n \quad \text{at } z = 0,\end{aligned}$$

so  $|\Delta_k \hat{P} / \Delta_k P| < 1$  in  $|z| < 1$ . This means  $\Delta_k Q \neq 0 \neq \Delta_k R$  in  $0 < |z| < 1$  so  $Q, R \in \mathcal{P}_n$ . However  $P = (1+a_n)/2 Q + (1-a_n)/2 R$  and  $P$  is extreme so  $Q = R$ . This implies  $\hat{P} = a_n P$  and equating  $n$ th coefficients,  $a_n^2 = 1$ ,  $a_n = 1$  which is a contradiction. This completes the proof of Theorem 1.

Now consider the polynomials

$$(2) \quad Q_p(z; n) = \sum_{j=1}^n \frac{\sin jp\pi/(n+1)}{\sin p\pi/(n+1)} z^j = \frac{z(1 - (-1)^p z^{n+1})}{1 - 2z \cos p\pi/(n+1) + z^2}, \quad 1 \leq p \leq n.$$

Since

$$(3) \quad \frac{\Delta_k Q_p(z; n)}{(1 - 2ze^{ikn/(n+1)} \cos p\pi/(n+1) + z^2 e^{2ikn/(n+1)})(1 - z^2)} = \frac{z(1 - (-1)^{k+p} z^{n+1})(1 - z^2)}{(1 - 2ze^{-ikn/(n+1)} \cos p\pi/(n+1) + z^2 e^{-2ikn/(n+1)})}$$

$1 \leq k \leq n$ ,  $1 \leq p \leq n$ , each have  $n-1$  zeros on  $|z|=1$ , we conclude  $Q_p(z; n) \in \mathcal{P}_n$ . Also, we see that

$$(4) \quad \begin{aligned} \Delta_k Q_p(1; n) &= 0 && \text{if } 1 \leq k \leq n, k \neq p, \\ &= (n+1)/(2 \sin^2 p\pi/n+1) && \text{if } k = p, \end{aligned}$$

so the polynomials  $Q_p(z; n)$  are linearly independent.

**THEOREM 2.** *If  $P(z) = z + a_2 z^2 + \cdots + a_n z^n \in \mathcal{P}_n$  is such that  $a_n = 1$ , then  $P(z) = \sum_{p=1}^n \alpha_p Q_p(z; n)$  where  $\alpha_p$  is real when  $p$  is odd and pure imaginary when  $p$  is even. Further  $\sum_{p \text{ odd}} \alpha_p = 1$  and  $\sum_{p \text{ even}} \alpha_p = 0$ .*

**Proof.** Since the  $Q_p(z; n)$  are linearly independent, we may write

$$P(z) = \sum_{p=1}^n \alpha_p Q_p(z; n).$$

Then  $\Delta_p P(1) = \alpha_p \Delta_p Q_p(1; n)$  by (4) and we have  $\alpha_p = \Delta_p P(1) (2 \sin^2 p\pi/(n+1))/(n+1)$ .

As remarked before,  $a_n = 1$  implies the coefficient relation  $a_{j+1} = \bar{a}_{n-j}$  when  $P \in \mathcal{P}_n$  so

$$\Delta_p P(1) = 1 + \frac{\sin 2p\pi/(n+1)}{\sin p\pi/(n+1)} a_2 + \cdots + (-1)^{p-1} \frac{\sin 2p\pi/(n+1)}{\sin p\pi/(n+1)} \bar{a}_2 + (-1)^{p-1}$$

which is real if  $p$  is odd and pure imaginary if  $p$  is even. The rest of the theorem follows from the normalization of  $P$ .

From Theorem 1, we easily obtain the following corollary.

**COROLLARY 1.** *If  $P \in \mathcal{P}_n$  is extreme and  $a_n \geq 0$  then  $P(z) = \sum_{p=1}^n \alpha_p Q_p(z; n)$  where  $\alpha_p$  is real when  $p$  is odd and pure imaginary when  $p$  is even. Further  $\sum_{p \text{ odd}} \alpha_p = 1$  and  $\sum_{p \text{ even}} \alpha_p = 0$ .*

In [6, p. 496] the polynomials  $P(z; n, j)$  defined by

$$P(z; n, j) = \sum_{k=1}^n \frac{n-k+1}{n} \frac{\sin kj\pi/(n+1)}{\sin j\pi/(n+1)} z^k$$

were introduced and shown to be univalent. These polynomials are related to the polynomials  $Q_p(z; n)$  by the equation  $P(z; n, p) = ((n+1)/n) Q_p(z; n) - (1/n) z Q'_p(z; n)$ . If  $P \in \mathcal{P}_n$ , let  $P^*(z) = ((n+1)/n) P(z) - (1/n) z P'(z)$ . We show below that if  $P \in \mathcal{P}_n$  and  $a_n = 1$  then  $P^*$  is univalent in the disk. We require the following lemma.

**LEMMA 1.** *If  $P(z) = \sum_{j=1}^n a_j z^j \in \mathcal{P}_n$  then*

$$P^*(z) = \sum_{j=1}^n \frac{n-j+1}{n} a_j z^j \in \mathcal{P}_n.$$

**Proof.** Observe that

$$\Delta_k P^*(z) = \frac{n+1}{n} \Delta_k P(z) - \frac{1}{n} \Delta_k [z P'(z)] = \frac{n+1}{n} \Delta_k P(z) - \frac{1}{n} z (\Delta_k P)'(z).$$

Since  $\Delta_k P(z) = z \prod_{j=1}^{n-1} (1 - z/z_j)$ ,  $|z_j| \geq 1$ , we have

$$\operatorname{Re} \left[ \frac{z(\Delta_k P)'(z)}{\Delta_k P(z)} \right] = \operatorname{Re} \left[ 1 - \sum_{j=1}^{n-1} \frac{z/z_j}{1 - z/z_j} \right] \leq 1 + \frac{n-1}{2} = \frac{n+1}{2}.$$

Hence

$$\left| \frac{\Delta_k P^*(z)}{z} \right| = \left| \frac{\Delta_k P(z)}{nz} \right| \left| n + 1 - \frac{z(\Delta_k P)'(z)}{\Delta_k P(z)} \right| \geq \frac{|\Delta_k P(z)|}{n|z|} \cdot \frac{n+1}{2} \neq 0$$

when  $|z| < 1$ .

REMARK. It is also clear in the above proof that  $\Delta_k P^*(z_0) = 0$  for some  $z_0$  on  $|z| = 1$  if and only if  $\Delta_k P(z)$  has a double zero at  $z = z_0$ .

THEOREM 3. If  $P(z) = \sum_{j=1}^n a_j z^j \in \mathcal{P}_n$  and  $|a_n| = 1$ , then  $P^*$  is univalent in  $|z| < 1$ .

**Proof.** We may assume  $a_n = 1$ . Using the coefficient relation  $a_{j+1} = \bar{a}_{n-j}$  and proceeding as in [6, pp. 497–498] we find  $e^{i\theta} P^*(e^{i\theta}) = e^{i(n+1)\theta/2} R(\theta)$  where  $R$  is real valued and  $\operatorname{Re} [e^{i\theta} P^{*''}(e^{i\theta})/P^*(e^{i\theta}) + 1] = (n+1)/2$  when  $P^*(e^{i\theta}) \neq 0$ . That is, the tangent line to the curve  $P^*(e^{i\theta})$ ,  $0 \leq \theta \leq 2\pi$ , turns at a constant rate in a counter-clockwise direction as  $\theta$  increases except at the cusps where it reverses direction.

We wish to show that for each  $\theta$ ,  $0 \leq \theta < \pi/2$ , the polynomial

$$S(z, \theta) = (P^*(ze^{i\theta}) - P^*(ze^{-i\theta}))/z(e^{i\theta} - e^{-i\theta}) \quad (= P^*(z) \text{ if } \theta = 0)$$

has no zeros in  $|z| < 1$ . We first show  $P^*(z) \neq 0$  in  $|z| < 1$  so suppose  $S(z_0, 0) = 0$  for some  $z_0$ ,  $|z_0| < 1$ . Since for each  $\theta$ ,  $S(z, \theta)$  is a polynomial and the zeros of a polynomial vary continuously with the coefficients there is a continuous function  $z(\theta)$  such that  $S(z(\theta), \theta) = 0$ ,  $0 \leq \theta \leq \pi/(n+1)$ ,  $z(0) = z_0$ . By Lemma 1,  $S(z, \pi/(n+1)) \neq 0$  in  $|z| < 1$  so  $|z(\pi/(n+1))| \geq 1$ . Therefore  $|z(\phi)| = 1$  for some  $\phi$ ,  $0 < \phi \leq \pi/(n+1)$ . For each  $\theta$ ,  $0 < \theta < \phi$ , one can find tangent lines  $L(\theta)$ ,  $M(\theta)$  to the closed curve  $\gamma(\theta) = \{P(z(\theta)e^{i\psi}) : (-\theta \leq \psi \leq \theta)\}$  such that  $\gamma(\theta)$  is contained between  $L(\theta)$  and  $M(\theta)$  and so that  $L$  and  $M$  vary continuously with  $\theta$  (for example choose  $L$  and  $M$  parallel to the tangent to  $P(z(\theta)e^{i\psi})$  ( $|\theta - \psi| < \epsilon$ ) where  $\epsilon$  is small). Hence one obtains parallel tangents  $L(\phi)$ ,  $M(\phi)$  to the closed curve  $\gamma(\phi)$  at the points  $P(z(\phi) \exp(i\psi_1))$  and  $P(z(\phi) \exp(i\psi_2))$  where  $0 < \psi_2 - \psi_1 < 2\phi \leq 2\pi/(n+1)$ . But the tangent line turns at the constant rate  $(n+1)/2$  on  $|z| = 1$  so  $L$  and  $M$  parallel implies  $\psi_2 - \psi_1 = 2k\pi/(n+1) \geq 2\pi/(n+1)$  which is a contradiction. We remark that it seems necessary to find  $L(\phi)$  and  $M(\phi)$  as above to avoid the problem of cusps on the image of  $|z| = 1$ .

Now suppose  $S(z, \theta) = 0$  for some  $\theta$  and  $z$ ,  $0 < \theta < \pi/2$ ,  $|z| < 1$ . Let  $r$  be a minimum such that for some  $z_0$  and  $\theta_0$ ,  $r = |z_0|$  and  $S(z_0, \theta_0) = 0$ . As before, there is a continuous function  $z(\theta)$ ,  $0 \leq |\theta - \theta_0| \leq \pi/(n+1)$ , such that  $S(z(\theta), \theta) = 0$  and  $z(\theta_0) = z_0$ . Again using Lemma 1, we conclude there are  $\phi_1$  and  $\phi_2$  such that  $-\pi/(n+1) < \phi_2$

$-\theta_0 < 0 < \phi_1 - \theta_0 < \pi/(n+1)$  and  $|z(\phi_1)| = |z(\phi_2)| = 1$ . If for some continuous branch of the argument, we have

$$\psi_1 = \arg(z(\phi_1) \exp(i\phi_1)) > \arg(z(\phi_2) \exp(i\phi_2)) = \psi_2$$

and

$$\psi_3 = \arg(z(\phi_2) \exp(-i\phi_2)) > \arg(z(\phi_1) \exp(-i\phi_1)) = \psi_4$$

we may proceed as in the proof that  $P^{*'}(z) \neq 0$  in  $|z| < 1$  to show that there exist  $\theta_1$  and  $\theta_2$  satisfying  $\psi_1 > \theta_1 > \psi_2$ ,  $\psi_3 > \theta_2 > \psi_4$  and  $\theta_1 - \theta_2 = 2j\pi/(n+1) > 0$ . But  $\psi_1 - \psi_4 = 2\phi_1 > \theta_1 - \theta_2 > \psi_2 - \psi_3 = 2\phi_2$  so  $\phi_1 > j\pi/(n+1) > \phi_2$  and  $S(z(j\pi/(n+1)), j\pi/(n+1)) = 0$  contradicting Lemma 1.

Let  $\gamma$  be the curve  $P^*(z_0 e^{i\theta})$ ,  $0 \leq \theta \leq 2\pi$ . The curve  $\gamma$  has a common tangent line where  $\theta = \theta_0$  and  $\theta = -\theta_0$  by the way in which  $z_0$  and  $\theta_0$  were chosen. Since  $zP^{*'}(z)$  is in the direction of the outward normal when  $P^{*'} \neq 0$  we must have

$$\frac{z_0 \exp(i\theta_0) P^{*'}(z_0 \exp(i\theta_0))}{z_0 \exp(-i\theta_0) P^{*'}(z_0 \exp(-i\theta_0))} < 0.$$

Using the fact that  $P^*(z(\theta)e^{i\theta}) - P^*(z(\theta)e^{-i\theta}) = 0$ , we find

$$\begin{aligned} \left| \frac{d \arg z(\theta)}{d\theta} \right| &= \left| \operatorname{Im} \frac{d \log z(\theta)}{d\theta} \right| \\ &= \left| \left( 1 + \frac{z(\theta)e^{i\theta} P^{*'}(z(\theta)e^{i\theta})}{z(\theta)e^{-i\theta} P^{*'}(z(\theta)e^{-i\theta})} \right) / \left( 1 - \frac{z(\theta)e^{i\theta} P^{*'}(z(\theta)e^{i\theta})}{z(\theta)e^{-i\theta} P^{*'}(z(\theta)e^{-i\theta})} \right) \right| \\ &< 1 \end{aligned}$$

when  $\theta = \theta_0$ . This means that if  $\phi_1$  and  $\phi_2$  are sufficiently near  $\theta_0$  and such that  $\phi_2 < \theta_0 < \phi_1$  and  $|z(\phi_1)| = |z(\phi_2)|$  then  $\arg(z(\phi_2) \exp(i\phi_2)) < \arg(z(\phi_1) \exp(i\phi_1))$  and  $\arg(z(\phi_2) \exp(-i\phi_2)) > \arg(z(\phi_1) \exp(-i\phi_1))$ . Therefore to complete the proof of the theorem we need only show that if  $1 \geq |z(\phi_2)| = |z(\phi_1)|$  and either

$$\arg(z(\phi_2) \exp(i\phi_2)) = \arg(z(\phi_1) \exp(i\phi_1))$$

or

$$\arg(z(\phi_2) \exp(-i\phi_2)) = \arg(z(\phi_1) \exp(-i\phi_1))$$

we obtain a contradiction to  $P^* \in \mathcal{P}_n$ . We have  $0 < \phi_1 - \phi_2 < \pi/(n+1)$  and by changing notation there are  $z$  and  $\theta = \phi_1 - \phi_2$  such that  $P^*(ze^{i\theta}) = P^*(ze^{-i\theta})$ ,  $0 < \theta < \pi/(n+1)$ . The proof now proceeds as in the proof that  $P^{*'} \neq 0$  in  $|z| < 1$ .

**COROLLARY 2.** *If  $P \in \mathcal{P}_n$  is an extreme point then  $((n+1)/n)P(z) - (1/n)zP'(z)$  is univalent in  $|z| < 1$ .*

We have the following converse to Theorem 3.

**THEOREM 4.** *If*

$$Q(z) = \sum_{j=1}^n \frac{n-j+1}{n} a_j z^j, \quad a_1 = 1 = a_n,$$

*and  $Q(z)$  is univalent in  $|z| < 1$  then  $P(z) = \sum_{j=1}^n a_j z^j \in \mathcal{P}_n$ .*

**Proof.** As shown in [1], we must have  $a_{j+1} = \bar{a}_{n-j}$  and it then follows that

$$\operatorname{Re} [\Delta_k z P'(z) / \Delta_k P(z)] = \operatorname{Re} [z(\Delta_k P)'(z) / \Delta_k P(z)] = (n+1)/2$$

when  $|z|=1$ ,  $\Delta_k P(z) \neq 0$ .

Suppose  $\Delta_k P(z)=0$  for some  $z$ ,  $|z|<1$ . Then  $w = \Delta_k P'(z) / \Delta_k P(z) = n+1$  for some  $z$ ,  $|z|<1$  for  $w$  assumes every value in a neighborhood of  $\infty$  and therefore every value not on the line  $\operatorname{Re} w = (n+1)/2$ . But  $\Delta_k Q(z) = ((n+1)/n)\Delta_k P(z) - (1/n)\Delta_k z P'(z) = 0$  when  $w = n+1$  which contradicts the univalence of  $Q$ . This proves  $\Delta_k P(z) \neq 0$  when  $|z|<1$ ,  $1 \leq k \leq n$  so  $P \in \mathcal{P}_n$  and the proof is complete.

Now suppose  $P(z) = \sum_{j=1}^n a_j z^j \in \mathcal{P}_n$ . If  $a_n = 1$ , then by Theorem 3,  $P^*$  is univalent in  $|z|<1$  so  $|a_j| < n(3j)/(n-j+1) < 6nj/(n+1) < 6j$  if  $j \leq (n+1)/2$ . Using the coefficient relation,  $|a_j| < 6j$  for all  $j$ . If  $0 \leq a_n < 1$  then as shown in the proof of Theorem 1,  $P$  is a convex combination of members of  $\mathcal{P}_n$  having  $n$ th coefficient  $\pm 1$ . Therefore, in any case  $|a_j| < 6j$ . Hence for  $P \in \mathcal{P}_n$ ,  $|P(z)| < 6 \sum_{j=1}^n j|z|^j < 6|z|/(1-|z|)^2$  so the family  $\bigcup_{n=1}^{\infty} \mathcal{P}_n$  is locally uniformly bounded and is therefore a normal family.

**THEOREM 5.** Suppose  $P_{n_k} \in \mathcal{P}_{n_k}$  and that  $P_{n_k} \rightarrow f$  as  $k \rightarrow \infty$ . Then  $f$  is univalent in  $|z|<1$ .

**Proof.** Suppose  $f$  is not univalent in  $|z|<1$ . Then there exist  $\theta$ ,  $z$  such that  $0 < |z| < 1$ ,  $0 < \theta < \pi/2$  and  $f(ze^{i\theta}) = f(ze^{-i\theta})$ . In fact there exists  $r < 1$  and a closed interval  $I = [\theta_1, \theta_2]$  such that the equation  $f(ze^{i\theta}) = f(ze^{-i\theta})$  has a solution in  $D_r = \{z : 0 < |z| < r\}$  for each  $\theta \in I$ . For fixed  $\theta \in I$ , there exists  $k$  such that if  $l > k$  then  $P_{n_l}(ze^{i\theta}) = P_{n_l}(ze^{-i\theta})$  has a solution in  $D_{(1+r)/2}$ . Let  $I_k = \{\theta \in I : P_{n_l}(ze^{i\theta}) = P_{n_l}(ze^{-i\theta}) \text{ has a solution in } D_{(1+r)/2} \text{ for all } l > k\}$ . Then  $\bigcup_{k=1}^{\infty} I_k = I$  so by Baire's theorem [7, p. 76] some  $I_k$  contains an interval. That is  $l > k_0$  implies  $P_{n_l}(ze^{i\theta}) = P_{n_l}(ze^{-i\theta})$  has a solution in  $D_{(1+r)/2}$  for all  $\theta$  in some fixed interval. This contradicts the definition of  $\mathcal{P}_n$  and completes the proof.

We now wish to obtain some geometric properties of the univalent polynomial  $P^*$  associated with extreme points  $P \in \mathcal{P}_n$ . Note that a double zero of  $\Delta_k P(z)$  is a zero of  $\Delta_k P^*$ . Letting  $\gamma = \{P^*(e^{i\theta}) : 0 \leq \theta < 2\pi\}$  we see that a double root of  $\Delta_k P$  on  $|z|=1$  corresponds to a point of self-tangency of  $\gamma$  and conversely. Further, we have the following lemma.

**LEMMA 2.** If  $P \in \mathcal{P}_n$  satisfies  $a_n = 1$  then  $\Delta_k P$  cannot have a zero of multiplicity greater than 2.

**Proof.** Assume  $\Delta_k P$  has a zero of multiplicity greater than 2. Then  $P^*(ze^{ik\pi/(n+1)}) = P^*(ze^{-ik\pi/(n+1)})$  and  $ze^{ik\pi/(n+1)}P^{*'}(ze^{ik\pi/(n+1)}) = ze^{-ik\pi/(n+1)}P^{*'}(ze^{-ik\pi/(n+1)})$  for some  $z$ ,  $|z|=1$ . If  $P^{*'}(ze^{ik\pi/(n+1)}) = 0 = P^{*'}(ze^{-ik\pi/(n+1)})$  then it is clear that the images under the mapping  $P^*$  of small sectors of sufficiently large opening inside the unit circle with vertices at  $ze^{ik\pi/(n+1)}$  and  $ze^{-ik\pi/(n+1)}$  will overlap contradicting

the univalence of  $Q$ . Hence the image of  $|z|=1$  has a common tangent at the two points under consideration and as seen previously this implies

$$\frac{ze^{ik\pi/(n+1)}P^{*'}(ze^{ik\pi/(n+1)})}{ze^{-ik\pi/(n+1)}P^{*'}(ze^{-ik\pi/(n+1)})} < 0.$$

This is a contradiction which completes the proof.

**THEOREM 6.** *If  $P \in \mathcal{P}_n$  ( $n > 2$ ) is an extreme point then the curve  $\gamma = P^*(e^{i\theta}) : 0 \leq \theta \leq 2\pi$  has  $n-2$  points of self-tangency. Further, if  $P^*(\exp(i\theta_2)) = P^*(\exp(i\theta_1))$  then  $\theta_2 - \theta_1 = 2k\pi/(n+1)$  for some integer  $k$ .*

**Proof.** The last assertion in the theorem follows easily from the fact that  $\operatorname{Re}[e^{i\theta}P^{*'}(e^{i\theta})/P^{*'}(e^{i\theta})+1] = (n+1)/2$  when  $P^{*'}(e^{i\theta}) \neq 0$  so the tangent line to  $\gamma$  turns at a constant rate between cusps as  $\theta$  varies. Since  $P^*(\exp(i\theta_2)) = P^*(\exp(i\theta_1))$  implies there is a common tangent line to  $\gamma$  at the above points we must have  $((n+1)/2)(\theta_2 - \theta_1) = k\pi$  for some integer  $k$ .

We now proceed to prove the first part of the theorem.

We may assume  $P(z) = \sum_{j=1}^n a_j z^j$ ,  $a_n = 1$ . Note that  $\Delta_{k+1}P(z) = -\Delta_{n-k}P(-z)$  so it is sufficient to consider  $k \leq (n+1)/2$ . Further, if  $n$  is odd,  $\Delta_{(n+1)/2}P(z)$  is an even function and we may assume for this polynomial that  $0 \leq \arg z < \pi$ . Hence we will show that there are  $n-2$  values of  $z$  such that  $\Delta_k P(z)$  has a double zero on  $|z|=1$  for some  $k$  satisfying  $1 \leq k < (n+1)/2$  or  $k = (n+1)/2$  and  $0 \leq \arg z < \pi$ . Suppose this is not the case. We wish to construct

$$\begin{aligned} R(z) &= \sum_{n \geq k > 1; k \text{ odd}} \alpha_k (Q_k(z; n) - Q_1(z; n)) + i \sum_{n \geq k > 2; k \text{ even}} \beta_k (Q_k(z; n) - Q_2(z; n)) \\ &= \sum_{j=2}^{n-1} b_j z^j \end{aligned}$$

where  $\alpha_k$  and  $\beta_k$  are real and  $Q_k(z; n)$  is given by (2) so that  $P(z) + tR(z) \in \mathcal{P}_n$  and  $P(z) - tR(z) \in \mathcal{P}_n$  for some  $t > 0$ ,  $R \neq 0$ . Then  $P = \frac{1}{2}(P + tR) + \frac{1}{2}(P - tR)$  and  $P$  is not extreme ( $R$  must have the above form in order for  $P + tR$  and  $P - tR$  to satisfy the coefficient relation).

We wish to obtain  $n-2$  real linear equations in the  $n-2$  unknowns  $\alpha_k, \beta_k$ . For each double zero  $e^{i\theta}$  of  $\Delta_k P(z)$  restricted as discussed above, we obtain an equation by setting  $i^{k-1}e^{-i(n+1)\theta/2}\Delta_k R(e^{i\theta}) = 0$ . The coefficient relation in  $Q_k(z; n)$  implies that the  $\alpha_k$  and  $\beta_k$  have real coefficients in these equations. Suppose  $l$  equations are obtained in this way. The remaining equations are obtained by setting  $e^{-ij\pi/2}R(e^{ij\pi/(n+1)}) = K$ ,  $j = 1, 2, \dots, n-l-2$ , where  $K=0$  if the determinant of coefficients is 0 and  $K=1$  otherwise. Thus in any case, there is a choice of the  $\alpha_k$  and  $\beta_k$  such that  $\Delta_k R(z) = 0$  when  $\Delta_k P(z)$  has a double zero and  $R(z) \neq 0$ .

Let  $k$  be fixed and consider  $S(\theta) = \Delta_k P(e^{i\theta})/\Delta_k R(e^{i\theta})$ . Suppose  $\Delta_k P(e^{i\theta})$  and  $\Delta_k R(e^{i\theta})$  have  $p$  common zeros. Then  $S(\theta)$  has  $n-1-p$  simple zeros and therefore changes sign at each of these zeros. Hence there exists  $t_k > 0$  such that  $S(\theta)$  assumes the values  $t_k$  and  $-t_k$ ,  $n-1-p$  times. This means that all the zeros of

$\Delta_k P(z) + t \Delta_k R(z)$  and  $\Delta_k P(z) - t \Delta_k R(z)$  lie on  $|z|=1$  when  $t \leq t_k$ . Setting  $t = \min_{1 \leq k \leq n} t_k$  the proof is now complete.

EXAMPLES.  $n=1$ .  $\mathcal{P}_1 = \{z\}$ .

$n=2$ . Theorem 2 implies that the extreme points of  $\mathcal{P}_2$  are rotations of  $z+z^2$ .

$n=3$ . Theorem 2 implies that the extreme points of  $\mathcal{P}_3$  are rotations of polynomials of the form  $P(z) = z + a_2 z^2 + z^3$  where  $a_2$  is real. Clearly we may assume  $a_2 \geq 0$ . Theorem 6 implies that one of the polynomials  $\Delta_1 P(z) = z + \sqrt{2} a_2 z^2 + z^3$  or  $\Delta_2 P(z) = z - z^3$  has a double zero on  $|z|=1$ . It follows that  $a_2 = \sqrt{2}$  so all extreme points of  $\mathcal{P}_3$  are rotations of  $z + \sqrt{2} z^2 + z^3$ .

$n=4$ . Theorem 2 implies that the extreme points of  $\mathcal{P}_4$  are rotations of polynomials of the form  $P(z) = z + a_2 z^2 + \bar{a}_2 z^3 + z^4$ . Theorem 6 implies that

$$1 + 2a_2 \cos(\pi/5)z + 2\bar{a}_2 \cos(\pi/5)z^2 + z^3$$

and

$$1 + 2a_2 \cos(2\pi/5)z - 2\bar{a}_2 \cos(2\pi/5)z^2 - z^3$$

each have a double zero on  $|z|=1$ . Applying this to  $P^*$ , each of the polynomials,

$$(5) \quad \begin{aligned} &1 + \frac{3}{2}a_2 \cos(\pi/5)z + \bar{a}_2 \cos(\pi/5)z^2 + \frac{1}{4}z^3 \quad \text{and} \\ &1 + \frac{3}{2}a_2 \cos(2\pi/5)z - \bar{a}_2 \cos(2\pi/5)z^2 - \frac{1}{4}z^3 \end{aligned}$$

has exactly one zero on  $|z|=1$ . By Cohn's rule [1] and [5, p. 149], if  $f(z) = c_0 + c_1 z + \dots + c_k z^k$  satisfies  $|c_0| > |c_k|$  then

$$f^*(z) = \bar{c}_0 f(z) - c_k z^k \overline{f(1/\bar{z})}$$

has the same zeros as  $f$  on  $|z|=1$  and the same number of zeros as  $f$  in  $|z| < 1$ . Applying Cohn's rule twice to each of the polynomials (5) leads to the linear polynomials

$$\left( \frac{12 \cos 2\pi/5}{\bar{a}_2} - \frac{2\bar{a}_2}{a_2} \right) z + \frac{36 \cos^2 2\pi/5}{|a_2|^2} - 1$$

and

$$\left( \frac{12 \cos \pi/5}{\bar{a}_2} + \frac{2\bar{a}_2}{a_2} \right) z + \frac{36 \cos^2 \pi/5}{|a_2|^2} - 1$$

(we have used the fact that  $\cos 2\pi/5 = (\sqrt{5}-1)/4$  and  $\cos \pi/5 = (\sqrt{5}+1)/4$  so  $\cos(2\pi/5) \cos \pi/5 = 1/4$ ) each of which has a zero on  $|z|=1$ . This fact yields the equations

$$(6) \quad \begin{aligned} &|a_2|^4 - 16 \operatorname{Re} a_2^3 \cos 2\pi/5 + 72|a_2|^2 \cos^2 2\pi/5 - 432 \cos^4 2\pi/5 = 0, \\ &|a_2|^4 + 16 \operatorname{Re} a_2^3 \cos \pi/5 + 72|a_2|^2 \cos^2 \pi/5 - 432 \cos^4 \pi/5 = 0. \end{aligned}$$

Eliminating  $\operatorname{Re} a_2^3$  from the equations (6) we obtain  $|a_2|^4 + 18|a_2|^2 - 54 = 0$  so  $|a_2|^2 = 3\sqrt{15} - 9$ . Substitution into either equation in (6) then yields

$$(7) \quad \cos(3 \arg a_2) = \frac{3}{16} \sqrt{9 + 5\sqrt{15}}.$$



If we choose a value of  $\arg a_2$  to satisfy (7) and choose  $|a_2|$  so the equations (6) are satisfied then the extreme points in  $\mathcal{P}_4$  are rotations of the polynomials  $z + a_2 z^2 + \bar{a}_2 z^3 + z^4$  and  $z + \bar{a}_2 z^2 + a_2 z^3 + z^4$ .

$n=5$ . The extreme points of  $\mathcal{P}_5$  are rotations of polynomials of the form  $P(z) = z + (a + b_i)z^2 + cz^3 + (a - b_i)z^4 + z^5$  where  $a$ ,  $b$  and  $c$  are real. By considering  $-iP(iz)$ ,  $-P(-z)$  and  $(P(\bar{z}))^-$  we see that we may assume  $a$ ,  $b$  and  $c$  are non-negative. Theorem 6 implies that among the roots of the equations

$$(8) \quad \begin{array}{rcl} 1 + \sqrt{3}(a + b_i)z + 2cz^2 + \sqrt{3}(a - b_i)z^3 + z^4 & = & 0 \\ 1 + (a + b_i)z & - & (a - b_i)z^3 - z^4 = 0 \\ 1 & - & cz^2 + z^4 = 0 \end{array}$$

there must be three double roots on  $|z|=1$  (only half of the double roots of the third equation are to be counted). Observe that  $(1 + e^{i\alpha}z)^2(1 + e^{i\beta}z)^2 = 1 + 2(e^{i\alpha} + e^{i\beta})z + (e^{2i\alpha} + e^{2i\beta} + 4e^{i(\alpha+\beta)})z^2 + 2(e^{i(2\alpha+\beta)} + e^{i(2\beta+\alpha)})z^3 + e^{i2(\alpha+\beta)}z^4$  so the second equation in (8) cannot have two double roots on  $|z|=1$ . Suppose the first equation has two double roots on  $|z|=1$ . Then the left hand-side has the form above where  $\beta = -\alpha$  or  $\beta = \pi - \alpha$ . Since  $c \geq 0$ , we must have  $\beta = -\alpha$  so  $b=0$ . The second equation in (8) then has roots  $\pm 1$  together with the zeros of  $1 + az + z^2$ . Hence in this case the second equation cannot have a double root (the only possibility is  $-1$  and it is either a simple root or a triple root). This means the third equation has a double root so  $c=2$  and  $a = \sqrt{\frac{8}{3}}$ . But

$$z + \sqrt{\frac{8}{3}}z^2 + 2z^3 + \sqrt{\frac{8}{3}}z^4 + z^5 = ((\sqrt{8}+3)/6)Q_1(z; 5) + ((3-\sqrt{8})/6)Q_5(z; 5)$$

and this polynomial is not an extreme point.

Thus we conclude that if  $P$  is extreme then each of the equations in (8) has a double root on  $|z|=1$ . From the third equation,  $c=2$ . Assume that  $e^{i\phi}$  and  $e^{i\theta}$  are double roots of the first and second equations respectively. We obtain the system

$$(9) \quad \begin{array}{l} \cos 2\phi + \sqrt{3}a \cos \phi + \sqrt{3}b \sin \phi + 2 = 0, \\ 2 \sin 2\phi + \sqrt{3}a \sin \phi - \sqrt{3}b \cos \phi = 0, \\ \sin 2\theta + a \sin \theta - b \cos \theta = 0, \\ 2 \cos 2\theta + a \cos \theta + b \sin \theta = 0. \end{array}$$

Solving for  $a$  and  $b$  in terms of  $\theta$  and  $\phi$  we find

$$(10) \quad \begin{array}{l} a = -2 \cos^3 \theta = (1/2\sqrt{3})(\cos 3\phi - 7 \cos \phi), \\ b = 2 \sin^3 \theta = (1/2\sqrt{3})(\sin 3\phi - \sin \phi). \end{array}$$

From equations (10),

$$(11) \quad a^2 + b^2 = \frac{1}{3}(8 + 4 \cos 2\phi - 3 \cos^2 2\phi)$$

so  $a^2 + b^2 < 28/9$ .

Now let  $\theta = \theta(\phi)$  satisfy  $-2 \cos^3 \theta = (1/2\sqrt{3})(\cos 3\phi - 7 \cos \phi)$  and set  $g(\phi) = 2 \sin^3 \theta - (1/2\sqrt{3})(\sin 3\phi - \sin \phi)$ . Since  $a > 0$  and  $b > 0$  we have  $\pi > \phi$ ,  $\theta > 3\pi/4$ .

Also  $g'(\phi) = (10 - 12 \cos^2 \phi) \cos(\phi - \theta) / (2\sqrt{3} \cos \theta)$ ,  $g(\pi) > 0$ ,  $g(\phi_0) < 0$  and  $g(3\pi/4) > 0$  where  $\cos^2 \phi_0 = \frac{5}{6}$ . Thus we conclude the system (10) has two solutions. Assume the values of  $\phi$  corresponding to these solutions are  $\phi_1$  and  $\phi_2$  where  $\pi > \phi_1 > \phi_0 > \phi_2 > 3\pi/4$ . From (11), we find  $a^2 + b^2 = 3$  when  $\cos 2\phi = 1$ ,  $\frac{1}{3}$  while  $g(\phi) < 0$  when  $\cos 2\phi = \frac{1}{3}$  so  $3 < a^2 + b^2 < 28/9$  for the solution corresponding to  $\phi_1$  and  $a^2 + b^2 < 3$  for the solution corresponding to  $\phi_2$ . Denote the polynomials  $P$  corresponding to the solutions of (10) for  $\phi = \phi_1, \phi_2$  by  $P_1$  and  $P_2$  respectively and let  $\theta_1$  and  $\theta_2$  be the corresponding values of  $\theta$ . Clearly  $P_1$  is an extreme point since it maximizes  $|a_2|$  ( $P_1 \in \mathcal{P}_5$  since there must be an extreme point satisfying  $\sqrt{3} \leq |a_2|$ ).

We now show  $P_2$  is also an extreme point of  $\mathcal{P}_5$ . Note that in any of the equations in (8), the roots which do not lie on  $|z| = 1$  must occur as pairs of roots which are inverse points with respect to  $|z| = 1$ . Hence if two roots vary continuously beginning on  $|z| = 1$  and ending as inverse points with respect to the circle then they must at some time coincide. The third equation in (8) has its roots on the circle when  $c = 2$ . Setting  $a(\theta) = -2 \cos^3 \theta$  and  $b(\theta) = 2 \sin^3 \theta$ ,  $e^{i\theta}$  is a double root of the second equation and the other roots lie on  $|z| = 1$  for we can never have  $a(\alpha) = a(\theta)$  and  $b(\alpha) = b(\theta)$  when  $\alpha \neq \theta \pmod{2\pi}$  (and the roots do lie on  $|z| = 1$  when  $\theta = 0$ ). Now set  $a(\phi) = (1/2\sqrt{3})(\cos 3\phi - 7 \cos \phi)$  and  $b(\phi) = (1/2\sqrt{3})(\sin 3\phi - \sin \phi)$ . All roots of the first equation in (8) lie on  $|z| = 1$  when  $\phi = \pi$ . Further, if  $\pi > \phi > 3\pi/4$  then  $a(\phi) = a(\alpha)$  and  $b(\phi) = b(\alpha)$  together imply  $\phi = \alpha$ . Hence for  $\phi = \phi_2$ , all roots of the first equation in (8) lie on  $|z| = 1$ . Hence  $P_2 \in \mathcal{P}_5$ .

Now suppose  $P_2$  is not an extreme point. Then  $P_2$  is in the convex hull of the set

$$\{e^{-i\alpha}P_1(e^{i\alpha}z) : \alpha \text{ is real}\} \cup \{e^{i\beta}\overline{P_1(\bar{z}e^{i\beta})} : \beta \text{ is real}\}.$$

Since  $P_2$  has third coefficient 2 and fifth coefficient 1,  $P_2$  is a convex combination of

$$P_1(z), \quad \overline{P_1(\bar{z})}, \quad -P_1(-z) \quad \text{and} \quad -\overline{P_1(-\bar{z})}.$$

Therefore,  $\sin^3 \theta_2$  is a convex combination of  $\sin^3 \theta_1$  and  $-\sin^3 \theta_1$ . But  $\sin \theta_2 > \sin \theta_1$  and this is impossible so  $P_2$  is extreme.

For the next theorem, we restrict ourselves to the subclass  $\mathcal{R}_n \subset \mathcal{P}_n$  having the property  $P \in \mathcal{R}_n$  implies  $P$  has real coefficients.

**THEOREM 7.** *For each  $p = 1, 2, \dots, n$ ,  $Q_p(z; n)$  is an extreme point of  $\mathcal{R}_n$ . Further  $\mathcal{R}_n \subset \text{co} \{Q_p(z; n)\}_{p=1}^n$  where  $\text{co}(A)$  is the convex hull of  $A$ .*

**Proof.** Let  $P(z) = z + \sum_{j=2}^n a_j z^j \in \mathcal{R}_n$  be extreme. As in the proof of Theorem 1, we may show that if  $P$  is extreme then  $a_n = \pm 1$ . Using Theorem 3 above, Theorem 2 of [6, p. 500] and the fact mentioned previously that  $Q_p^*(z; n) = P(z; n, p)$  we have  $P(z) = \sum_{p=1}^n \alpha_p Q_p(z; n)$  where  $\alpha_p \geq 0$  and  $\sum_{p=1}^n \alpha_p = 1$ . This proves

$$\mathcal{R}_n \subset \text{co}(\{Q_p(z; n)\}_{p=1}^n).$$

Every  $Q \in \mathcal{R}_n$  can be written uniquely in the form  $Q(z) = \sum_{p=1}^n \alpha_p Q_p(z; n)$ ,  $\alpha_p \geq 0$ ,  $\sum_{p=1}^n \alpha_p = 1$  and the functional  $J_k$  defined on  $\mathcal{R}_n$  by  $J_k(Q) = \alpha_k$  is a continuous linear

functional. Clearly  $\alpha_k = 1$  is its maximum which is assumed only when  $Q(z) = Q_k(z; n)$ . This proves  $Q_k(z; n)$  is extreme in  $\mathcal{P}_n$ .

From Theorems 6 and 7 above, it seems likely that no extreme points of  $\mathcal{P}_n$  can have all real coefficients when  $n \geq 4$ . At least we have the following theorem.

**THEOREM 8.** *If  $n > 5$  and  $1 < j < n$  then*

$$\max_{P \in \mathcal{P}_n} |a_j| > \frac{\sin j\pi/(n+1)}{\sin \pi/(n+1)} = \max_{P \in \mathcal{P}_n} |a_j|$$

where  $P(z) = \sum_{j=1}^n a_j z^j$ .

**Proof.** The equality

$$\max_{P \in \mathcal{P}_n} |a_j| = \frac{\sin j\pi/(n+1)}{\sin \pi/(n+1)}$$

follows from Theorem 3 and [6, Theorem 3]. From (3) we see that  $\Delta_k Q_1(z; n)/z$  has simple zeros except possibly at  $\pm 1$  and  $\Delta_k[Q_4(z; n) - Q_2(z; n)]/z$  has a simple zero at  $\pm 1$  when  $\Delta_k Q_1(z; n)/z$  has a double zero,  $n > 5$ . It then follows by the same argument as used in the proof of Theorem 6 that for  $t$  sufficiently small,  $Q(z) = Q_1(z; n) + it[Q_4(z; n) - Q_2(z; n)] \in \mathcal{P}_n$  and all zeros of  $\Delta_k Q$  are simple zeros,  $1 \leq k \leq n$ . For fixed  $j$ , choose  $p$  odd so that

$$\left| \frac{\sin jp\pi/(n+1)}{\sin p\pi/(n+1)} \right| < \frac{\sin j\pi/(n+1)}{\sin \pi/(n+1)}.$$

Applying the same argument, it follows that, for sufficiently small  $s$ ,

$$P(z) = Q_1(z; n) - s(Q_p(z; n) - Q_1(z; n)) + it(Q_4(z; n) - Q_2(z; n))$$

is in  $\mathcal{P}_n$ . Then  $|a_j| \geq \operatorname{Re} a_j > (\sin j\pi/(n+1))/(\sin \pi/(n+1))$ . Actually the conclusion of the theorem holds for  $n > 3$  except for the case  $n = 5, j = 3$ .

**THEOREM 9.** *Every function  $f$  in the class  $S$  of functions univalent in  $|z| < 1$  and normalized by setting  $f(0) = 0, f'(0) = 1$ , is the limit of polynomials of the form  $P(z) = z + \sum_{j=2}^n a_j z^j \in \mathcal{P}_n$  which satisfy  $a_n = 1$ .*

**Proof.** Let  $f \in S$ . One can obtain a sequence of univalent polynomials by taking appropriate partial sums of  $r_k^{-1} f(r_k z)$  where  $\{r_k\}_{k=1}^\infty$  is a strictly increasing sequence of real numbers such that  $\lim_{k \rightarrow \infty} r_k = 1$ . Let  $\{Q_{n_k}\}$  be such a sequence where  $Q_{n_k}$  is of degree  $n_k$ . Define

$$P_{n_k}(z) = Q_{n_k}(z) + z^{2n_k+1} \overline{Q_{n_k}(1/\bar{z})} = \sum_{j=1}^{2n_k} a_j z^j.$$

By an argument similar to that used to prove Theorem 1,  $P_{n_k} \in \mathcal{P}_{2n_k}$  and  $a_{2n_k} = 1$ . Also  $\lim_{k \rightarrow \infty} P_{n_k}(z) = f(z)$  so  $\{P_{n_k}\}_{k=1}^\infty$  is the required sequence.

**THEOREM 10.** *The univalent polynomials of the form  $z + \sum_{j=2}^n a_j z^j$  which satisfy  $(j+1)a_{j+1} = (n-j)\bar{a}_{n-j}$  [and thus  $a_n = 1/n$ ] are dense in the class  $S$ .*

**Proof.** The polynomials  $\{P_{n_k}^*\}_{k=1}^\infty$  have the same limit as  $\{P_{n_k}\}_{k=1}^\infty$  above.

We observe that in Theorem 9, if  $f \in S$  has real coefficients then the sequence  $\{P_{n_k}^*\}_{k=1}^\infty$  has real coefficients and we have a new proof of the Bieberbach conjecture for functions having real coefficients.

Let  $\mathcal{D}_n$  be the class of polynomials of degree  $n$  which are univalent in  $|z| < 1$  and of the form  $z + \sum_{j=2}^n a_j z^j$ ,  $(j+1)a_{j+1} = (n-j)\bar{a}_{n-j}$ . Applying Theorems 2 and 4 above and using the definition of  $P(z; n, j)$  in [6] we can represent any  $P \in \mathcal{D}_n$  in the form

$$(12) \quad \begin{aligned} P(z) = P(z; n, 1) &+ \sum_{j \text{ odd}} \alpha_j [P(z; n, j) - P(z; n, 1)] \\ &+ i \sum_{j \text{ even}} \beta_j [P(z; n, j) - P(z; n, 2)]. \end{aligned}$$

Since the tangent line to the curve  $P(e^{i\theta})$  ( $0 \leq \theta \leq 2\pi$ ) turns at a constant rate ( $\operatorname{Re} [e^{i\theta} P''(e^{i\theta}) / P'(e^{i\theta}) + 1] = (n+1)/2$  when  $P'(e^{i\theta}) \neq 0$ ) as  $\theta$  varies the tangent line to the curve is horizontal when  $\theta$  is an odd multiple of  $\pi/(n+1)$  and vertical when  $\theta$  is an even multiple of  $\pi/(n+1)$ . Recall that among all polynomials in  $\mathcal{D}_n$  having real coefficients,  $P(z; n, 1)$  maximizes every coefficient. Also  $\Delta_k P(1; n, 1) = 0$  when  $k$  is odd,  $k > 1$  (i.e.  $P(e^{ik\pi/(n+1)}; n, 1) = P(e^{-ik\pi/(n+1)}; n, 1)$  when  $k$  is odd,  $k > 1$ ). Note also that for even  $j > 2$  and odd  $k$ ,  $\Delta_k [P(1; n, j) - P(1; n, 2)]$  is pure imaginary. Hence the effect of adding  $i[P(z; n, j) - P(z; n, 2)]$  (where  $t$  is real and near 0 and  $j$  is even,  $j > 2$ ) to  $P(z; n, 1)$  is to shift the values at  $e^{ik\pi/(n+1)}$  and  $e^{-ik\pi/(n+1)}$  apart horizontally. Finally, in the representation (12), for odd  $k > 1$  we have  $\alpha_k \operatorname{Im} [\Delta_k P(1; n, k)] = \operatorname{Im} [\Delta_k P(1)]$  so  $\alpha_k$  is negative when  $\operatorname{Im} P(e^{-ik\pi/(n+1)}) > \operatorname{Im} P(e^{ik\pi/(n+1)})$ . Since the coefficients in  $P(z; n, k) - P(z; n, 1)$  are all nonpositive, it would appear that to obtain the maximum modulus for any coefficient, one should choose the  $\beta_j$  to shift the values  $P(e^{ik\pi/(n+1)})$  and  $P(e^{-ik\pi/(n+1)})$  apart and then choose the  $\alpha_j$  negative, the choices being made to satisfy Theorem 6. This discussion leads to the following conjecture.

**CONJECTURE.** Among all polynomials  $P(z) = z + \sum_{j=2}^n a_j z^j \in \mathcal{D}_n$ , the quantities  $|a_j|$ ,  $2 \leq j \leq n-1$ , are all maximized by a single polynomial having the property that in the representation (12),  $\alpha_j \leq 0$  for all odd  $j$ ,  $n \geq j > 1$ .

This conjecture implies the Bieberbach conjecture as shown by the following argument. Suppose  $P_n(z)$  maximizes  $|a_j|$ ,  $2 \leq j \leq n-1$ , in  $\mathcal{D}_n$ . Let  $f(z) = \sum_{j=1}^\infty b_j z^j \in S$  and let  $Q_{n_k} \in \mathcal{D}_{n_k}$  where  $\{Q_{n_k}\}_{k=1}^\infty$  is a sequence of polynomials having  $f$  as limit. Let  $P_n(z) = \sum_{j=1}^n a_{j,n} z^j$ ,  $Q_{n_k}(z) = \sum_{j=1}^{n_k} b_{j,n_k} z^j$ . Then  $|a_{j,n_k}| \geq |b_{j,n_k}|$  for each  $k$  and  $2 > |a_{2,n_k}| \geq ((n_k-1)/n_k) \cos \pi/(n_k+1)$ . Hence  $\lim_{k \rightarrow \infty} |a_{2,n_k}| = 2$  and any convergent subsequence of  $\{P_n\}$  must converge to a Koebe function. After possibly renaming to obtain a convergent subsequence, we therefore have

$$j = \lim_{k \rightarrow \infty} |a_{j,n_k}| \geq \lim_{k \rightarrow \infty} |b_{j,n_k}| = |b_j|.$$

## REFERENCES

1. D. A. Brannan, *Coefficient regions for univalent polynomials of small degree*, *Mathematika* **14** (1967), 165–169. MR **36** #3971.
2. Louis Brickman, *Extreme points of the set of univalent functions*, *Bull. Amer. Math. Soc.* **76** (1970), 372–374. MR **41** #448.
3. J. Dieudonné, *Recherches sur quelques problèmes relatifs aux polynômes et aux fonctions bornées d'une variable complexe*, *Ann. Ecole Norm. Sup. (3)* **48** (1931), 247–358.
4. N. Dunford and J. T. Schwartz, *Linear operators. I: General theory*, *Pure and Appl. Math.*, vol. 7, Interscience, New York, 1958. MR **22** #8302.
5. M. Marden, *The geometry of the zeros of a polynomial in a complex variable*, *Math. Surveys*, no. 3, Amer. Math. Soc., Providence, R. I., 1949. MR **11**, 101.
6. T. J. Suffridge, *On univalent polynomials*, *J. London Math. Soc.* **44** (1969), 496–504. MR **38** #3419.
7. A. E. Taylor, *Introduction to functional analysis*, Wiley, New York, 1958. MR **20** #5411.

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF KENTUCKY, LEXINGTON, KENTUCKY 40506